

PoreFlow-Net: a 3D convolutional neural network to predict fluid flow through porous media

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Abstract

We present the PoreFlow-Net, a 3D convolutional neural network architecture that provides fast and accurate fluid flow predictions for 3D digital rock images. We trained our network to extract spatial relationships between the porous medium morphology and the fluid velocity field. Our workflow computes simple geometrical information from 3D binary images to train a deep neural network (the PoreFlow-Net) optimized to generalize the problem of flow through porous materials. Our results show that the extracted information is sufficient to obtain accurate flow field predictions in less than a second, without performing expensive numerical simulations providing a speed-up of several orders of magnitude. We also demonstrate that our model, trained with simple synthetic geometries, is able to provide accurate results in real samples spanning granular rocks, carbonates, and slightly consolidated media from a variety of subsurface formations, which highlights the ability of the model to generalize the porous media flow problem. The workflow presented here shows the successful application of a disruptive technology (physics-based training of machine learning models) to the digital rock physics community.

32 1. Introduction

33 Understanding how fluids travel through porous structures of subsurface rock formations is crucial
34 for designing groundwater management, hydrocarbon extraction (Raeini, Blunt, & Bijeljic, 2014),
35 CO₂ sequestration (Chen, Li, Valocchi, & Christensen, 2018), and contaminant remediation
36 projects (Kang, Lichtner, & Zhang, 2007). Currently, most of the energy that we use comes from
37 hydrocarbons extracted from oil and gas reservoirs, most of the water for human consumption
38 travels through underground aquifers, and the first pilot projects of CO₂ sequestration in the
39 subsurface are yielding positive results. For these reasons, it is paramount to accurately describe
40 the flow physics of these fluids to maintain energy security, water availability, and to potentially
41 avoid climate change (Blunt, 2017).

42
43 One of the most impactful properties in the decision-making process for the areas mentioned
44 above is the permeability of the underground reservoir of interest. This quantity provides a
45 directional, volume-averaged geometric measure of the ease for a fluid to flow through a specific
46 rock volume. The permeability is determined by the topology of the porous structures of the
47 formation, and it is calculated by computing average velocity (based on the fluid velocity through
48 pore space) and comparing it to Darcy's law (see Equation 1). This quantity is researched
49 primarily to assess preferential flow channels in the subsurface (contaminant tracing, hydrocarbon
50 movement in an oil reservoir), bottlenecks for fluid flow, and to estimate well flow rates
51 (hydrocarbon and water extraction, and CO₂ sequestration). The permeability is shaped by the
52 processes that generate and preserve the rock formation, and the subsequent alterations
53 throughout geological time. Processes such as deposition of grains in a basin, compaction of
54 layers caused by overburden pressure, cementation, recrystallization, and dissolution, change
55 the microscopic structure of the rock, altering the shapes and sizes of the flow paths available.
56 These effects modify the permeability of the rock formation (that can span up to kilometers). Since
57 the behavior of the fluids at the smaller scales is key to make inferences of larger domains, in this
58 paper we are going to focus on the flow of fluids at the microscale.

59
60 There are different methods to obtain the flow properties of a rock. Laboratory measurements are
61 able to obtain the average permeability of a sample through direct measurement. Nevertheless,
62 it is not possible to observe the microscopic physics at the pore-scale. These laboratory
63 measurements also tend to take longer times or even fail in tight porous media (lower porosity).
64 On the other hand, there are existing analytical expersions that estimate the permeability of a rock
65 based on fitting parameters that account for the rock type (lithology), grain size distribution, and
66 depositional processes, among others. These require a minimal amount of information, but they
67 are restricted to a particular rock (sometimes even from a specific geographical location) (Xu &
68 Yu, 2008). Finally, there are several numerical simulation methods to reproduce the fluid flow
69 physics (Blunt et al., 2013; Mehmani, Verma, & Prodanović, 2020). Among these, direct
70 simulation methods (DSM) are very attractive because they resolve the flow through irregular
71 geometries, giving the final user a realistic snapshot of how the fluid flows through the pores of
72 subsurface formations. Since the subsurface is highly heterogeneous over multiple scales, direct
73 simulation on a variety of samples at various scales extracted from wells or outcrops of the
74 reservoir or analogous rock of interest provides valuable information to investigate and model
75 subsurface flow for improved subsurface management.

76
77 With the rapid development of x-ray scanners and other non-destructive imaging technologies
78 (Mees, Swennen, Geet, & Jacobs, 2003), the simulation of fluid flow through 3D images of porous
79 materials is a topic of increasing interest. The typical workflow for performing direct simulations
80 starts with a gray-scale volume (the output of the x-ray scanner), which is then segmented (to

81 eliminate artifacts and noise) in two phases (binary image) that are discretized into voxels (3D
82 pixels) of solid or space for fluid to flow. These simulations provide an accurate picture (with
83 resolution of micrometers, and even smaller) of how fluid flows through complex geometries. With
84 the advances in computational performance, larger domains are practically simulated.
85 Nevertheless, computing times (even on supercomputer clusters) can be long, and the required
86 computational resources are vast. The computational demand of these methods grows at least at
87 the cube of the side length of the domain for homogeneous cubic samples, so in most cases
88 running direct simulations on a representative elementary volume with typical desktops is
89 unfeasible. Additionally, real materials tend to have pore size distributions that span and vary over
90 a wide range of scales, which increases the size of a representative elementary volume, and thus
91 the computational time to perform the simulations.

92
93 There are several numerical methods that are used to obtain flow properties directly from
94 3D images: the finite volume method (Jenny, Lee, & Tchelepi, 2003), smoothed particle
95 hydrodynamics (Tartakovsky & Meakin, 2005), the finite element method (White, Borja, &
96 Fredrich, 2006), the lattice Boltzmann Method (LBM), among others. A comparison of some of
97 these methods, and their run times can be found in (Yang et al., 2016). In this work we utilize the
98 LBM due to its simplicity for performing simulations in irregular domains, and its well-tested
99 capabilities to simulate flow through porous materials (Pan, Hilpert, & Miller, 2004; Santos,
100 Prodanović, Landry, & Jo, 2018). Although the method is easily parallelizable, its computational
101 time scales increase with domain complexity (Figure 1), which is common to every method that
102 operates on porous materials. We stress, however, that the workflow presented here does not
103 depend on the method chosen to obtain the fluid velocity field.

104
105 Recently, deep learning methods have been introduced as a framework for computers to learn
106 from observational data of physical phenomena to predict variables of interest. These methods
107 have been applied to study many problems in image segmentation, pattern recognition and image
108 captioning, and natural language processing. Deep learning methods benefit from benchmark
109 datasets since: (1) supervised deep learning methods require a large amount of validated data to
110 train models; and (2) the capabilities of the trained classifiers must be assessed quantitatively.
111 These algorithms have been applied successfully to digital rock applications like image
112 segmentation (Andrew, 2018; Bihani et al., 2019; Karimpouli & Tahmasebi, 2019b), calculation of
113 wave propagation through a solid matrix (Karimpouli & Tahmasebi, 2019a), 3D rock
114 reconstruction using generative models (Mosser, Dubrule, & Blunt, 2018), and 2D calculations of
115 permeability in small domains (Wu, Yin, & Xiao, 2018). Either segmented real images or porous
116 media reconstructions are required for direct simulation of flow. There are several challenges
117 encountered in applying deep neural networks to predict flow through porous media (or upscaled
118 transport properties of a porous medium). The biggest challenge is the large number of labeled
119 pairs of data (that can come in the form of interpreted seismic cross-sections, segmented images,
120 simulation results, etc.) required to train a model. In addition, performing numerical simulations of
121 porous volumes could require days of computation on hundreds of cores of a supercomputer to
122 converge (Figure 1). Moreover, acquiring the prerequisite many volumes of a similar formation is
123 often challenging, since access to the required imaging technologies (i.e. x-ray scanners) is
124 limited, and finally, given access to a large training set, there is still a memory limitation challenge
125 (more on this in the sections below). To circumvent the above difficulties, we create benchmark
126 datasets reusing images from Digital Rocks Portal (Prodanovic, Esteva, Hanlon, & others, 2015)
127 that are publicly available, and propose a comprehensive workflow to obtain a functional
128 relationship between a 3D binary image and the volumetric solution of the Navier-Stokes
129 equation.

130

131 In the context of fluid flow, Carrillo et al (Carrillo, Que, González, & López, 2017) trained an
132 artificial neural network to predict the shape and coordinates of an occlusion blocking a 2D pipe,
133 using only the velocity at points along the horizontal direction (representing sensors) as input
134 data. Moreover, Guo et al (Guo, Li, & Iorio, 2016) trained a convolutional neural network (CNN)
135 to predict velocity fields of a steady state flow with an obstacle (represented by simple geometries)
136 for small domains with closed boundaries, they used the distance transform of the binary image
137 as the model input. For single-phase, time-dependent problems, Hennigh (Hennigh, 2017)
138 proposed the Lat-Net, a convolutional neural network architecture that compressed the output of
139 an LBM simulation (to be memory efficient), and learned the relationship between subsequent
140 (compressed) time steps. Specifically, for porous media applications, Wu (Wu et al., 2018) applied
141 a CNN architecture with a fully connected layer to predict the permeability of 2D images. Sudakov
142 (Sudakov, Burnaev, & Koroteev, 2018) applied simple 2D/3D architectures to predict the absolute
143 permeability a system obtained by a pore-network model (a technique which simplifies the pore
144 space into a network of spheres interconnected by cylinders, losing all the complex features of
145 the image). The authors of this paper (Santos, Prodanović, & Pyrcz, 2018) initially proposed a
146 CNN that used the Euclidean distance as an input to predict the velocity field. Nevertheless, the
147 network was not able to generalize to predict for more heterogeneous pore geometries. Kamrava
148 et al (Kamrava, Tahmasebi, & Sahimi, 2020) showed that by using 3D convolutions their model
149 was able to predict permeability for realistic pore geometries. That paper also provides a detail
150 explanation of all the main components of a convolutional neural network and we refer it to any
151 reader who is not familiar with the basic structure of a neural network. The key difference of our
152 work is that we are able to use large 3D domains with pore geometry that is more complex than
153 in previously published work. Further, compared to other porous media work to date, we are able
154 to predict the fluid 3D velocity field, instead of only trying to predict the permeability value.

155 In this work, our main contribution is a new 3D deep learning workflow that is able to generalize
156 the single-phase flow of a fluid through granular materials. We show that by combining a feature
157 extraction algorithm, a custom loss function, and a new network architecture, our model can be
158 trained with very simple 3D geometries, and predict accurately in examples of varying sizes and
159 complexity. These predictions require less than a second of computation on a typical desktop
160 computer with a graphics processing unit (GPU), and are comparable in accuracy to the full-
161 physics simulation that might require days of processing on a supercomputer cluster. We will also
162 provide a comprehensive 3D data set that spans a wide range of rock formations all around the
163 globe.

164
165

166 2. Methods

167 In this section, we present the numerical method used for simulating the flow physics, the
168 morphological feature extraction algorithm, and the architecture of the PoreFlow-Net.
169

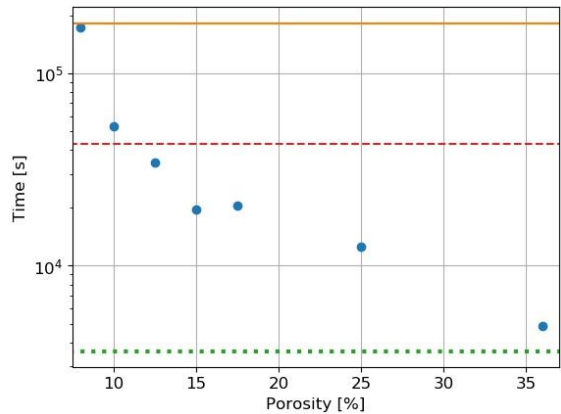
170 2.1 Velocity field simulation

171 To simulate the fluid flow through the domains of interest, we selected the lattice Boltzmann
172 method (LBM) (Sukop & Thorne, 2007). Nevertheless, the results of this work are independent of
173 the numerical method used to solve the flow physics. The LBM is one of the most popular methods
174 for performing direct simulation of fluid flow through irregular geometries. This method simulates

175 the streaming and collision of particles on a grid, and it has been demonstrated that is able to
 176 recover the full Navier-Stokes equation solution (Frisch, 1991). The advantages of the LBM are
 177 that the algorithm is relatively easy to implement, is highly parallelizable, and it can perform direct
 178 simulations on images.

179
 180 We used the same model proposed by Pan (Pan, Luo, & Miller, 2006) with a relaxation time
 181 (related to the fluid viscosity) equal to one. It is a slightly compressible model, where a very small
 182 pressure gradient (1e-6 lattice units, independent to the permeability of the domain) is applied to
 183 drive the fluid forward. All the simulations are in the laminar flow regime (where the Reynolds
 184 number is much smaller than one). This is consistent with the typical flow regime through
 185 subsurface formations away from fractures or boreholes.

186



187
 188 Figure 1: LBM running times (in seconds) for different domains of the same computational size
 189 (spherepacks and tight sandstones of 500^3 cells) indicating the impact of porosity (of our particular
 190 domains) on computational time. In these domains, the lower porosity samples host more intricate
 191 pathways (pore space with higher surface area and higher tortuosity), which increase the number
 192 of LBM iterations needed to achieve convergence. We run the simulations in eight Xeon E5-2690
 193 v3 (Haswell) processors totaling 96 computing cores (<https://portal.tacc.utexas.edu/user-guides/lonestar5>). The dotted horizontal line represents an hour, the dashed line a day, and the
 194 solid 2 days of running time. The sample that took the longest had very tight pore throats and a
 195 low coordination number between pores (resulting in very poor connectivity), this yields in a large
 196 number of iterations for the momentum to equilibrate.

197
 198

199 Upon convergence, the LBM simulation outputs the 3D velocity field tensor of the image. To
 200 calculate the permeability of the domain, we use Darcy's law (Bear & Bachmat, 1991):

201
$$k = \frac{\bar{v} dp}{\mu dz} \quad (1),$$

202 where \bar{v} represents the mean of the velocity field in the direction of the pressure gradient $\frac{dp}{dz}$, and
 203 μ refers to the dynamic viscosity of the fluid. To calculate \bar{v} we calculate the average of the 3D
 204 velocity matrix in the direction of flow. The permeability expresses the flow rate as a function of
 205 pressure gradient, it has units of length squared, and it is typically expressed in m^2 or in *Darcys*.

206 **2.2 Feature extraction**

207 The typical bottlenecks for deep learning applications are the: (1) vast amount of data required to
208 train a model, and the (2) memory limitations of the computational systems to perform the training
209 of a deep neural network. To overcome these issues, we added to our workflow a pre-training
210 feature extraction step where we extract relevant morphological features of the rock volume.

211 Since our simulations are time consuming (spanning from hours to days in our cluster), it would
212 be impractical to run domains hosting every possible 3D structure. By adding additional input
213 features to the model, our network is trained to find a more robust functional relationship of the
214 image with the flow field. It is worth noting that these features are computed in seconds, requiring
215 a minimal computational demand compared to the fluid flow simulation. Moreover, since it would
216 be computationally difficult to train the model using the entire simulation domains ($>500^3$ voxels),
217 we split the input and output images in subsamples to carry out the training process. Since the
218 subsampled volumes are shuffled in a training pool along with other examples from different
219 domains, including information of the boundaries (local with Euclidean distance, and global with
220 the time of flight) gives the model knowledge about the original spatial location of the individual
221 subsample (this process is depicted in Figure 5).
222

223 We compute four geometrical features from the binary image (Figure 2). To represent the local
224 characteristics of the binary image, we extracted the Euclidean distance map (also known as the
225 distance transform) of each sample. This is calculated with the following equation:

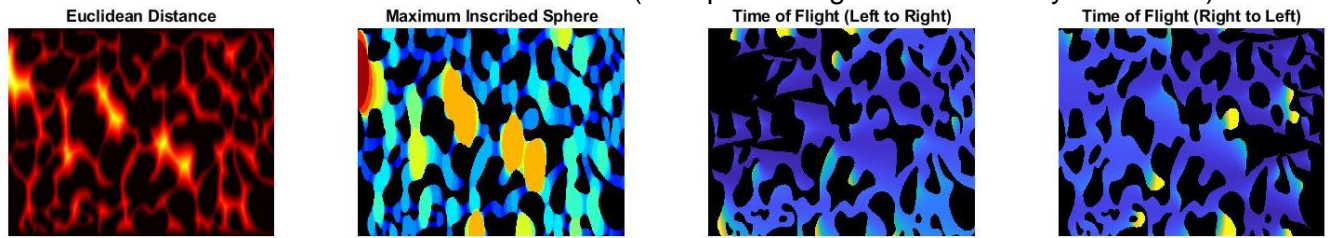
$$226 \quad E_{dist} = \left((x_1 - x_2)^2 + (y_1 - y_2)^2 + (z_1 - z_2)^2 \right)^{\frac{1}{2}} \quad (2).$$

227 Where x_1 and z_1 , y_1 and z_1 , x_2 and z_2 , y_2 and z_2 are the coordinates of each point of the solid and the fluid
228 boundaries respectively. This map provides a compact representation of the distribution of space
229 available for fluid to flow, and the distance to the closest solid (no-flow) boundary. Next, a
230 maximum inscribed sphere (MIS) map in the direction of flow (i.e. an MIS flood) is computed. This
231 map is a simplified and lightweight representation of a non-wetting fluid injection in the direction
232 of flow. Although MIS floods are typically used to describe two-phase flow, here it acts as a
233 measure of geometry (size of pore space) and topology (connectivity to neighboring pore
234 structures to similar size). The MIS map provides information about the local pore space
235 characteristics, as well as the global simulation conditions. It acts as a bridge between the whole
236 domain and its subsamples. Finally, to inform the network about the global conditions of the
237 domain before subsampling it, we employed a detrended time of flight (ToF). We use the fast
238 marching algorithm (Hassouna & Farag, 2007) to compute the shortest distance of all the points
239 of the domain to a point source (in this case, either the XY-plane located at the inlet or the outlet).
240 This method solves the boundary value problem of the Eikonal equation (Hassouna & Farag,
241 2007) represented by:

$$242 \quad |\nabla t(x)| = \frac{1}{f(x)}, \quad (3)$$

243
244 Where t represents the time of flight and $f(x)$ stands for the speed at every location of the image
245 (a constant in our case). For our case, the speed of the void space is set to one, while the solid
246 matrix is set to zero (impermeable). The result of this operation is a map where each of the voxels
247 of the void space are labeled with a number that depicts the shortest distance (in voxels) to the
248 boundary (the first few layers in the z-coordinate will be given consecutive numbers starting from
249 one, until they find a solid obstacle, then the number sequence will continue around the obstacle).
250 We then subtract the time of flight of the image map without solid obstacles (an image with a
251 porosity of 100%), to calculate a detrended (normalized) map as shown in Figure 02. This feature

252 provides data on tortuosity of the global paths within the domain. In addition, it supplies the model
253 implicit information about the neighboring subsampled blocks. We compute two features using
254 this method. One, where the point source is located at the inlet of the numerical simulation, and
255 the second one where the source is at the outlet (both pressure gradient boundary conditions).



256
257 Figure 2: 2D example of the four morphological features (Euclidean distance, maximum inscribed
258 spheres, and time of flight from left and from right, respectively) that we compute from a binary image
259 (Liu, Wang, & Song, 2017) to train the network. The areas where the value of the matrix is zero (i.e.
260 solid phase voxes) are shown in black.

260

261

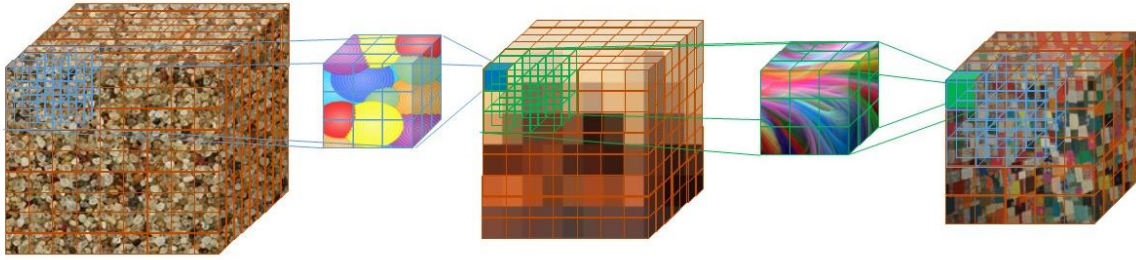
262 These features have been used in literature to characterize porous materials. Nevertheless, since
263 the relationship of these features with the velocity field is highly non-linear, the selection of the
264 ultimate set of features shown above was a trial-and-error process. These features do not provide
265 an exhaustive description of a 3D porous material. However, they deliver enough information to
266 our model about the local and global boundary conditions of the domain to be able to structure a
267 relationship (in the form of a convolutional neural network model) between these inputs and the
268 Navier-Stokes solution.

269

270 2.3 Network

271 2.3.1 Convolutional neural networks

272 Convolutional neural networks (CNNs) have excelled in the field of computer vision outperforming
273 classical machine learning methods (Krizhevsky, Sutskever, & Hinton, 2012; LeCun, Bengio, &
274 Hinton, 2015). These models have shown a remarkable capacity to find complex relations in big
275 data sets. By utilizing the discrete convolution operation instead of a regular matrix multiplication
276 (i.e. a fully connected feed-forward network), they generalize local spatial relationships (sparse
277 interactions) across the domain. CNNs utilize filters that are much smaller than the input image,
278 which extract general and meaningful information about the domain in an efficient manner. By
279 stacking convolutional layers, the network extracts features at different levels of abstraction with
280 an increasingly wider receptive field (Figure 03). Finally, the convolution layers are equivariant to
281 translation, which means that if the input feature is shifted, their output will be shifted by the same
282 amount (by creating, in this case, a 3D feature map). This is particularly useful in pattern
283 recognition, because they allow for inputs of variable size. Using this structure, a network can be
284 trained to learn complex, non-linear relationships between inputs and outputs using the
285 backpropagation algorithm.



286

287 *Figure 3: Schematic of three subsequent convolution operations with a 3x3x3 filter and a stride*
 288 *(kernel distance of where the next convolution operation is performed) of two. The network is*
 289 *trained to create a more compact (latent) representation, while retaining relevant features of the*
 290 *original image. Although the image loses the structure to the human eye, it retains the most*
 291 *significant information to the network. This operation allows to capture local and global spatial*
 292 *relationships by convolving over the output of the previous convolutional block. It is also cheaper*
 293 *to train because it has a smaller number of parameters (smaller filters) compared to a fully*
 294 *connected network.*

295

296 **2.3.2 PoreFlow-Net**

297 Recent studies suggest that the performance of a network can benefit from increased depth
 298 (longer stack of layers, as described in Section 2.3.1) (Szegedy et al., 2015; Urban et al., 2016).
 299 Apart from being computationally more intensive, a deeper network presents issues like vanishing
 300 and exploding gradients (Pascanu, Mikolov, & Bengio, 2012), and filter saturation by highly
 301 correlated features, making them very hard to train. To improve the gradient propagation and to
 302 enhance the training, He et al. (He, Zhang, Ren, & Sun, 2016) proposed the residual network
 303 (ResNet). The ResNet concatenates an identity map to the output of a convolutional layer stack
 304 (residual unit) to facilitate training. The authors show that the training is eased by targeting this
 305 new referenced residual output, avoiding gradient vanishing or saturation. Further, Ronneberger
 306 et al. (Ronneberger, Fischer, & Brox, 2015) proposed the UNet. This architecture concatenates
 307 feature maps from different layers of the encoding branch to the decoder, improving segmentation
 308 accuracy significantly. One of the main advantages of this is that the structure of the network
 309 retains high (i.e. lines and edges) and low-level features (i.e. entire objects) to reconstruct the
 310 output. They show that the networks train with ease and with fewer parameters due to the better
 311 flow of information (both in the forward and backward computations) that the skip connections
 312 (direct pathways between the encoding and decoding branch) provide. Building up from these
 313 two architectures, Zhang (Zhang, Liu, & Wang, 2018) presented the Deep Residual U-Net
 314 (ResUnet) which uses residual units as building blocks and skips connections between them. This
 315 network prove to be easy to train (compared to the U-Net that needed extensive data
 316 augmentation or a pre-trained model), with an efficient number of parameters and showed
 317 accurate results using a small training set.

318

319 In this paper, we propose a modification of the ResUnet, which benefits from the information of
 320 all the input features by passing them through individual encoding branches (dedicated to each
 321 of the extracted features from Section 2.2) with skip connections. We use three residual units for
 322 each of the four branches, a bridge, and a single decoder to recover the velocity field. Each of
 323 these parts are built with residual units (Figure 04). We use the scaled exponential linear unit

324 (SeLu) (Klambauer, Unterthiner, Mayr, & Hochreiter, 2017) as the activation function. This is
325 described by the following equation:

326

$$327 \text{SeLu}(x) = \lambda \begin{cases} x & \text{if } x > 0 \\ \alpha e^x - \alpha & \text{if } x < 0 \end{cases} \quad (4)$$

328

329 where the values of α and λ are fixed and provided in the publication. The purpose of this function
330 is to perform additional internal normalization of the inputs, facilitating gradient propagation.
331 According to the derivation of the authors, problems like gradient exploding or vanishing are
332 mathematically infeasible. Moreover, since internal normalization is cheaper, the network
333 converges faster.

334

335 Since the velocity distribution spans several orders of magnitude (Figure 6), we use L1 (mean
336 absolute error) as the cost function due to the large number of outliers (velocity tending to zero
337 near the grain boundaries). To increase the attention in tighter geometries we compute the loss
338 as follows:

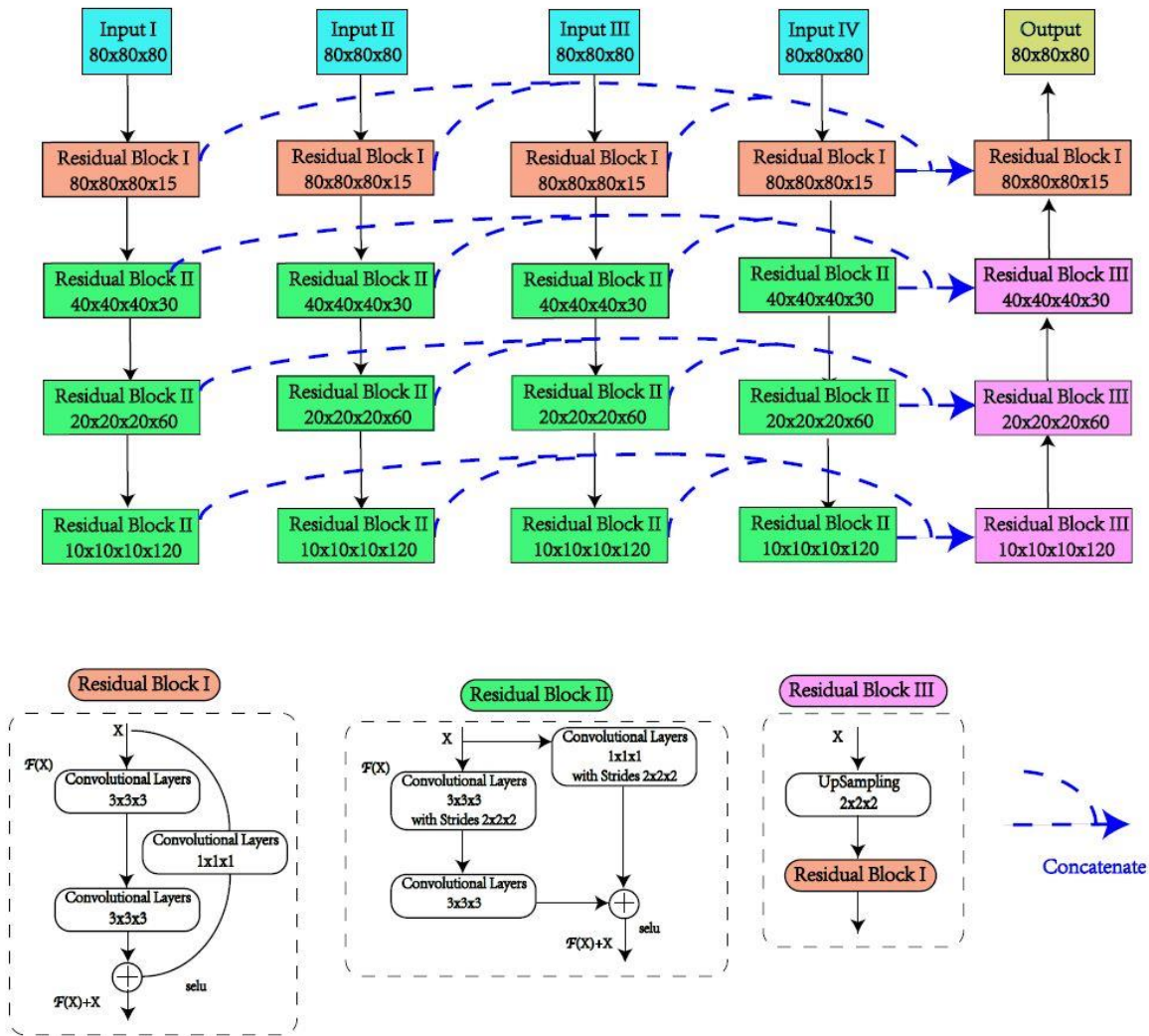
339

$$340 L = \sum(|y_{true} - y_{pred}| * M), \quad (5)$$

341

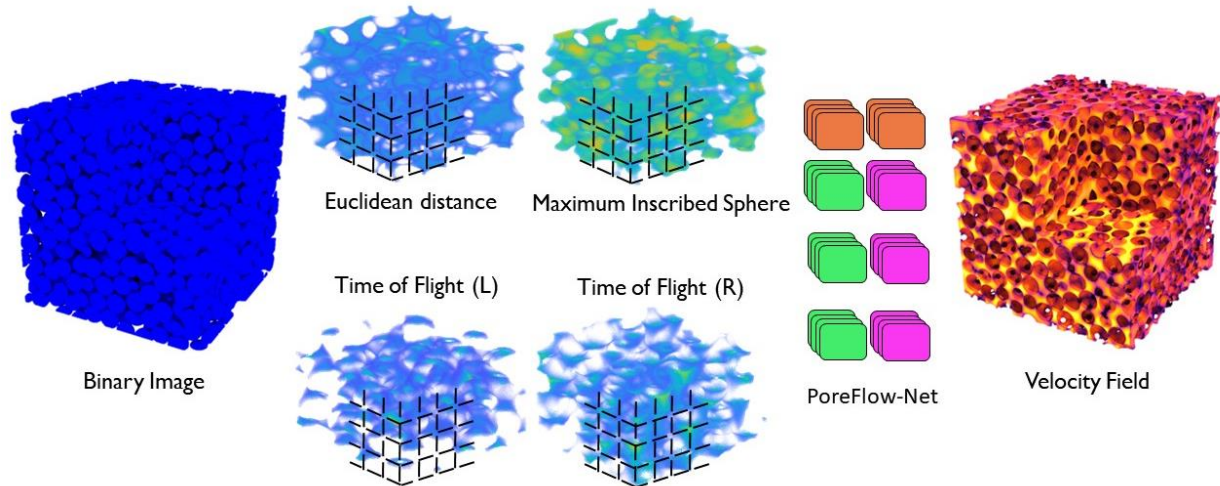
342 Where M is a weight vector that accounts for the size of the pores in the direction of flow and $*$
343 stands for an element-wise multiplication. The algorithm to calculate M can be found in Appendix
344 A1. The loss function (Equation 5) weights the difference between the true values and the
345 predictions so that all the voxels in the training pool have the same relevance (high and low
346 porosity subsamples).

347



348

349 Figure 4: PoreFlow-Net architecture consisting of four input branches and one decoder. A batch
 350 normalization layer and an activation follow every convolutional layer. Instead of using
 351 deconvolutional layers, the decoder resizes the image using upsampling. It has been shown
 352 (Gauthier, 2015) that the pixel overlap in deconvolution layers causes artifacts which increase in
 353 higher dimensions. In the case of a 3D deconvolution, some voxels will get six times the number
 354 of input information (because the filters visit these locations several times) compared to their
 355 neighbors. Since these operations have multiple channels, the network struggles to learn the
 356 appropriate weights to reconstruct the output image without artifacts. To avoid these artifacts and
 357 increase the memory efficiency, our image is resized using an upsampling layer, which repeats
 358 the input by a factor of two in all the coordinate directions (with no trainable parameters, making
 359 it cheaper).



360
 361 *Figure 5: Our workflow. Starting from a binary 3D matrix (left), we compute four geometric features*
 362 *(Section 2.2). The two on the top describe the medium locally, while the two bottom ones provide*
 363 *information about the global domain. These features are computed on the fly for every sample.*
 364 *Then, these features are subsampled (black lines) to train the neural network model. The output*
 365 *is the fluid velocity field in the direction of the pressure gradient. With different colors, we*
 366 *highlighted the different orders of magnitude of the velocity field prediction.*

367

368 2.4 Training data

369 2.4.1. Dataset creation

370

371 We used a beadpack comprised by a disordered closed pack of spherical grains, originally imaged
 372 experimentally by John Finney (Finney & Prodanovic, 2016) as our initial domain. A 500^3 subset
 373 of the original spherepack was discretized and segmented to generate training data.

374

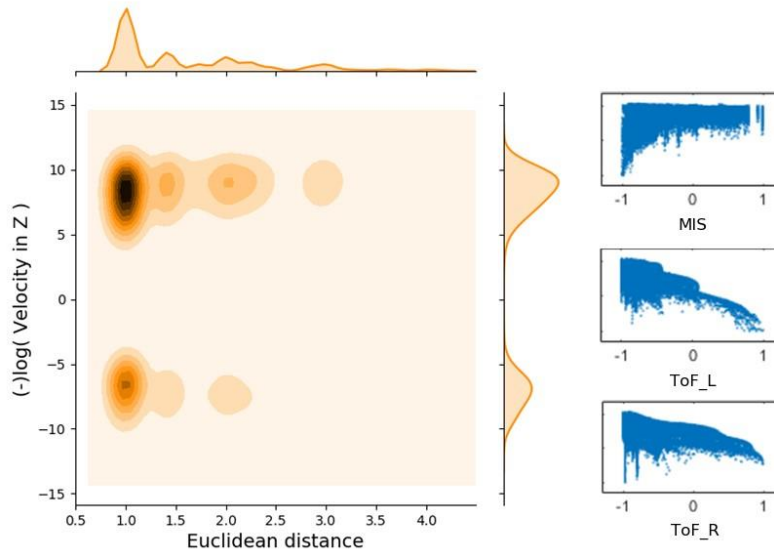
375 We performed four one-pixel grain dilations to the original sample, where we obtained four images
 376 of decreasing porosity (increasingly tighter) that mimic cementation processes in the subsurface,
 377 but preserves the simple features of the original spherepack. These samples range from 29.8%
 378 to 11% porosity. Finally, we performed a single-phase LBM flow simulation in these four samples
 379 where a pressure gradient parallel to the z-coordinate direction was applied with a no-flow
 380 boundary condition in the other faces. Since the domains are homogeneous packs of spheres,
 381 the simulation converges much faster (in the order of hours) than for real rock x-ray scans. We
 382 used these four samples to train the convolutional neural network.

383

384 2.4.2 Relationships between inputs and outputs

385 The lattice Boltzmann simulation outputs a pressure matrix and a velocity tensor in each point of
 386 the grid. In this work, we focused on the z-component of the fluid velocity (parallel to the pressure

387 gradient) which determines the permeability. In Figure 6 we show the velocity distribution and its
388 relationship with the morphological features extracted from the binary image.



389
390 Figure 6: In the left side, a heat map of the signed velocity logarithm (smaller absolute values
391 represent higher velocities, sign represents direction) versus Euclidean distance. The velocity has
392 a bimodal (positive and negative directions) distribution; hence it has a non-unique relationship
393 with the Euclidean distance. Consequently, the plot shows a higher scatter around the small
394 velocities and Euclidean distances. To the right, the scatterplots of maximum inscribed sphere
395 and Time of Flight versus velocity. Heteroscedastic, multimodal behaviors and non-linear
396 correlations are observed.
397

398 From the relationships exhibited in Figure 06, we can confirm that traditional machine learning
399 methods would not be able to obtain an accurate model due to the complex, highly non-linear
400 relations between the inputs and the target output.
401

402 3. Results

403 3.1 Impact of the proposed 3D feature extraction

404 As stated above, it would not be feasible to train our network over the entire simulation domains.
405 Hence, it is necessary to subsample the 3D matrices into smaller volumes to train the model in
406 batches of data. The reasoning behind this is that GPUs have a limited amount of memory, and
407 the model parameters, the inputs and outputs, the gradients, among others must be locally stored.
408 In our experiments, the maximum subset size that conventional hardware could accommodate in
409 memory was no larger than 80^3 . The model, as described by Figure 4 requires 2.7 gigabytes (Gb)
410 of memory to be trained with a batch size of one sample. To train the CNN with entire simulation

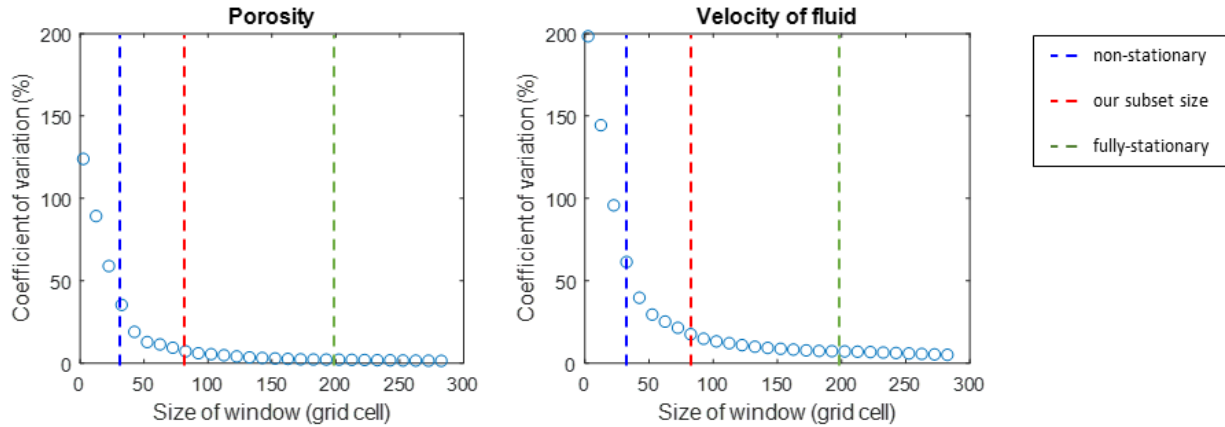
411 domains one would require approximately 660 Gb of memory available, which greatly exceeds
412 the current capabilities of graphic processing units.

413
414 Spatially aware neural networks, benefit greatly from stationary samples because it is easier to
415 find matching patterns in data. In theory, the subset size of the 3D sample should be equal or
416 larger than the representative element volume (REV) (Bachmat & Bear, 1987) to exhibit a
417 stationary behavior of the property of interest (in this case, velocity), impacting in a positive
418 manner the training performance of the neural network. If the subset data size is smaller than the
419 REV, we cannot expect to have a stable measure due to the non-stationarities (in the form of
420 spatial heterogeneities) present in the data.

421
422 To show the importance in the training of the network of our proposed feature extraction step, we
423 carried out a moving window analysis to assess the variability of the domains with different volume
424 sizes (Pyrzcz & Deutsch, 2014). Using a window of increasing size length, we calculate the
425 coefficient of variation (the ratio of the mean over the standard deviation) of the porosity and fluid
426 velocity within the subset. We carried out this experiment in the original spherepack (36%
427 porosity, before the grain dilations where performed). We executed this procedure iteratively until
428 the variation became not significant. We plotted the results of the moving window analysis in
429 Figure 7. Both of the subplots show the decrease in variability of porosity and velocity respectively
430 with the increase in the size of the window (due to the homogeneity of the sample). The 3D subset
431 size comparison is shown in Figure 8. It is only after 200^3 voxels per side (40% of the image side
432 length) that the velocity field stabilizes (coefficient of variation is less than 1%). This behavior is
433 more significant in tighter and less homogenous samples.

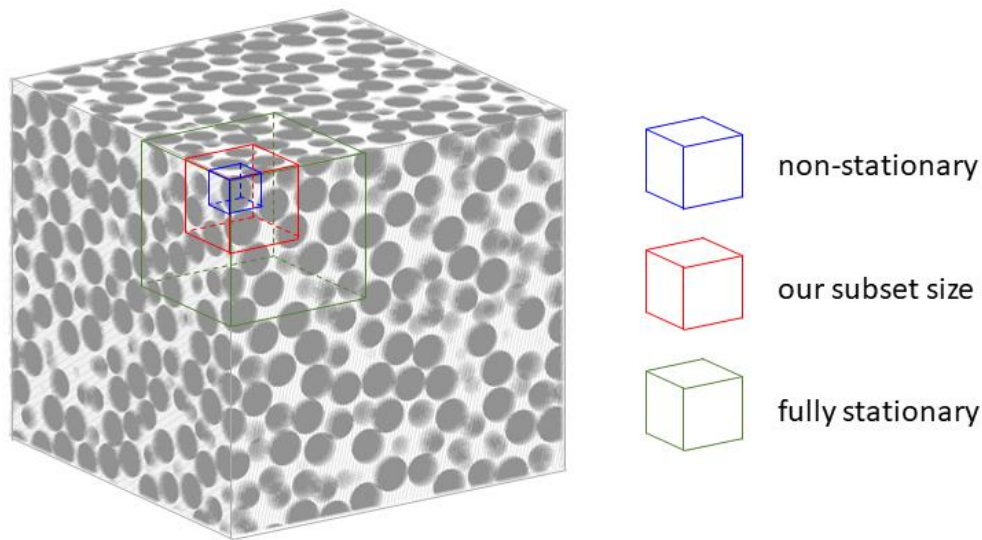
434
435 Training the neural network using only the binary image of solid and pore as input will hamper the
436 training process resulting in overfitting (it fails to generalize, causing the training and validation
437 curves to diverge), and poor predictive performance (we carried out this experiment and the
438 results are plotted in Figure 9). Since we are interested in creating a predictive model that is able
439 to perform in different geometries, we show that using the additional inputs (which add additional
440 information about the subvolume as well as how it relates to parts of the image surrounding it, the
441 latter in the form of time of flight) described in Section 2.2, the model increases its training
442 performance, and generalizes enough to predict the flow field (within acceptable error range) in a
443 test set that includes various geometries. In other words, the model is able to find unique patterns
444 to construct a robust function mapping the image with the fluid velocity.

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Figure 7: Evaluation of representative element volume with coefficient of variation (CV) for porosity and velocity. The variability of porosity and velocity decreases with the increase in the window size.



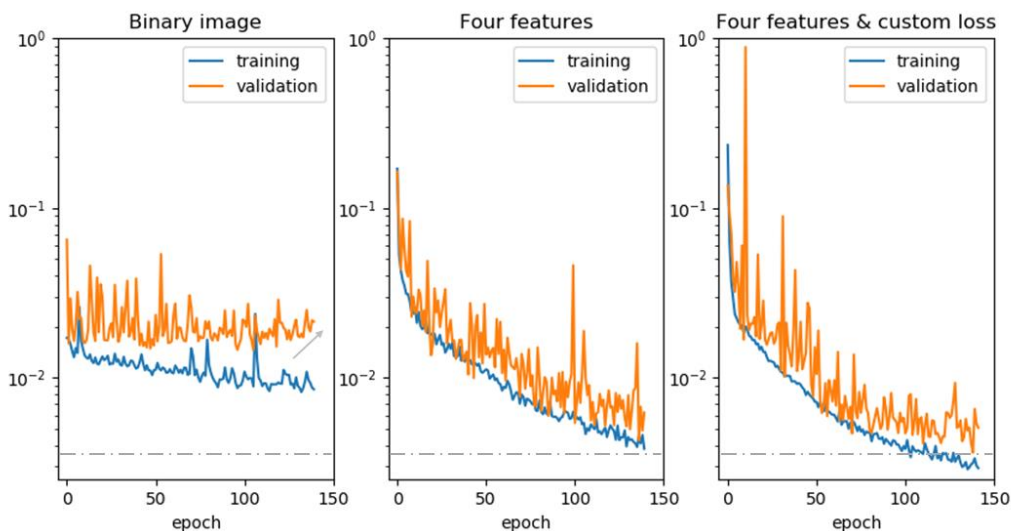
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Figure 8: 3D visualization of the moving windows sizes of the stationarity analysis. Gray areas indicate grains whereas white portions represent pores. The blue cube represents a highly non-stationary window size (Figure 7), the red cube is our selected subset size (80^3), and the green cube shows a stationary window size for the pictured domain.

463 3.2 Model Training

464 We implemented the model using the Keras python library (Chollet & others, 2015) with
465 TensorFlow (Abadi et al., 2015) as the backend. The model is optimized by minimizing the cost
466 function (Equation 5) using Adam (Kingma & Ba, 2014) with a learning rate of $1e-4$. We used four
467 sphere packs (that present four subsequent grain dilations from the original sample, as highlighted

468 in section 2.4.1), and subsampled them into 1080 80^3 cubes for training with a 20% random
 469 validation split (216 cubes). The model was trained with a mini-batch size of five, on a desktop
 470 with an NVIDIA Quadro M6000 GPU for 140 epochs. This model training process took twelve
 471 hours. The inputs and the outputs are transformed using the minmax transform constraining them
 472 from minus one to one. A comparison of the performance of three different model setups (training
 473 with the binary image only, training with the four proposed geometrical features, and training
 474 utilizing the features plus the custom loss function) is plotted in Figure 9. We observed a significant
 475 performance increase in the loss value when using the extracted features and the proposed loss.
 476
 477



478
 479 Figure 9: Training and validation loss values for three different cases. The left plot shows the
 480 training performed with the binary image only, the middle plot shows where the four features
 481 (Euclidean distance, MIS, and the two ToF) are used, and the right plot shows the application of
 482 the proposed custom loss function. By using the binary image as the only input, the model overfits
 483 (validation curve rising) and its minimum value remains high. By training using the custom loss
 484 function, the performance is improved (the slope of the loss is higher) and it reaches a smaller
 485 value that the rest (dashed line).
 486
 487

488 To assess the ability of the three trained models specified above to generalize the training data,
 489 we first tested the model using the original sphere-pack (unseen by the models, these were
 490 trained using the samples with the dilated grains only). The model trained with the binary input
 491 gave a relative error in permeability of several orders of magnitude. Whereas the one using the
 492 four input features returned an error 15 %, and finally the model trained using the features plus
 493 the custom loss gave a relative error of 13% when compared to the lattice-Boltzmann simulation.
 494 We carry out an extensive testing of the latter trained model in the sections below.
 495
 496

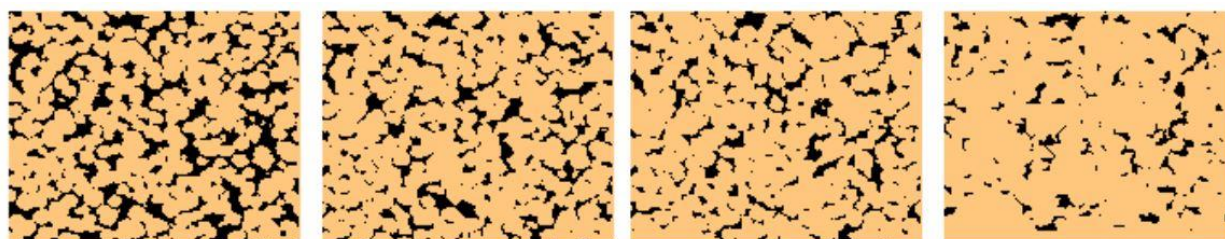
497 **3.3 Model Testing**

498 Using the model trained with the four dilated spherepacks (Section 3.2), we tested its capabilities
499 (vs the Navier-Stokes equation solution approximated by the LBM) on domains of different size
500 and complexity.

501 **3.3.1 Fontainebleau sandstone dataset**

502 The first test set was obtained via a simulation of processes that occur during sedimentary rock
503 formation (i.e., sedimentation, compaction, diagenesis, and cementation) to obtain 3D volumes
504 that resemble the Fontainebleau Sandstone formation in France (Berg, 2016). These images are
505 480^3 voxels, and vary from 8 to 26% porosity. We show a cross section through the middle of four
506 of the samples in Figure 10.

507



508

509 Figure 10: XY-Cross sections of the Fontainebleau sandstones of the test set. The pore space is
510 shown in black. Some of the structures have been disconnected from the bulk during cementation
511 and compaction, making this test set very different from the homogenous spherepacks pore space
512 in our training set.

513

514 We present the results in Table 1. These are in very good agreement with the full-physics
515 simulation (carried out to compare the performance of our model). To analyze the error more
516 closely, we selected the worst performing sample (24% porosity sandstone) for further analysis.
517 In Figure 11 we show a visual comparison (cross-section of the 3D volume orthogonal to the flow
518 direction) of the lattice-Boltzmann solution with our model. It is visible that most of the relevant
519 flow features are preserved. A comparison of the velocity histograms is shown in Figure 12. It is
520 worth noting that the flow streamlines are not always continuous, and the 3D solution is not trained
521 to satisfy mass balance (hence the relative error). Additional constraints can be added to honor
522 this, but are out of scope for this work. In this work we are mostly interested in capturing the main
523 flow characteristics (preferred paths and dead-ends) that impact permeability.

524

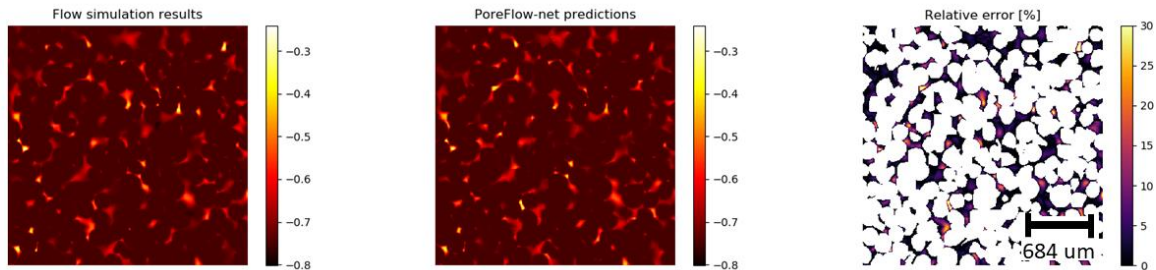
Porosity	Average relative error (voxel-wise) in the pore-space	True permeability [m ²]	Predicted permeability [m ²]	Relative error
8%	0.75%	8.76e-14	10.86e-14	24%
9.8	0.80%	2.35e-13	2.44e-13	4%
12.4%	1.04%	4.97e-13	5.34e-13	7.23%

15.2	1.75%	1.47e-12	1.45e-12	1.44%
17.5	2.25%	2.45e-12	2.61e-12	6.73%
24%	4.36%	7.76e-12	8.56e-12	10.32%

525

526 Table 1: Comparison of our model performance versus the LBM simulation on the Fontainebleau
 527 dataset. The average relative error refers to the error in the velocity parallel to the pressure
 528 gradient (z-coordinate). The average voxel-wise error shows a nonlinear relationship with the
 529 permeability relative error because the permeability is an average measure of the velocity
 530 considering the solid volume. Although the pixel-wise error increases with porosity, the
 531 permeability error (calculated using the mean velocity) shows no correlation with porosity. This is
 532 due to the fact that the highest errors are present near the grain boundaries (Figure 12), thus
 533 values do not have a significant impact in permeability.

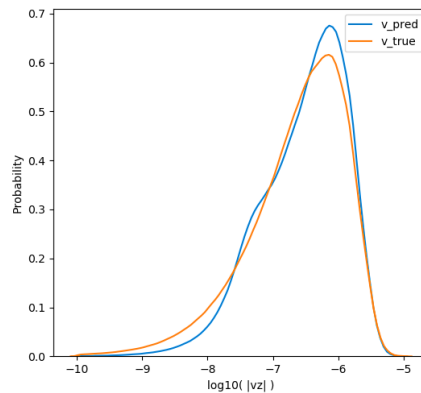
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536 Figure 11: XY Cross-section of the fluid flow simulated velocity in the z-direction (left), PoreFlow-
 537 Net prediction (middle) and the relative error between these two (right) for the Fontainebleau
 538 sandstone with 24% porosity. The velocity is shown in a dimensionless scale going from one to
 539 minus one (minmax transform). The mean average error in the pore space is 4.36% as reported
 540 in Table 1. The highest errors (voxel-wise) are in the pore throats (which is also consistent in the
 541 velocity histogram in Figure 12).

542



543

544 Figure 12: Histogram of true and predicted velocity (480^3 points in lattice units) in the z-direction
 545 at every point of the domain. The comparison shows excellent agreement at high velocity, and a

546 slight disagreement on the lower range. We hypothesize that since the training was performed
547 with spherepacks, by having paths that are more tortuous, hosting a higher amount of dead end
548 pores, and more solid surface area, the network does not perform as accurately. Since the highest
549 orders of magnitude in velocity have a greater effect in permeability, there is good agreement in
550 the permeability magnitude (Table 1).

551 3.3.2 Tests on different rock types

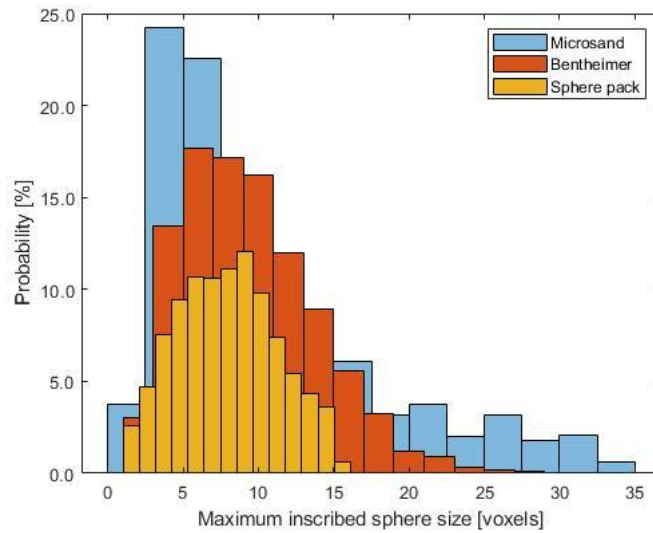
552 To further test our model, we predicted the flow field for different rock types available in Digital
553 Rocks Portal (Prodanovic et al., 2015). We first created a sample similar (in shape) to the original
554 training image by performing numerical grain erosion. This creates a sample of larger porosity
555 where grain boundaries are not as restrictive to fluid flow (where the permeability is higher). This
556 case is of interest in irrigation (Garnier et al., 1998). We further tested the original sphere pack
557 (the one that was numerically dilated to generate our training set). Our model yield accurate
558 predictions in these two samples, even when the porosities were larger (where velocities that
559 are also orders of magnitude higher) than the training set. We then tested the trained model on
560 two outcrop sandstones, a limestone, and artificially created multiscale microsand image. In
561 these, the relative error was not higher than 28% (Yang et al., 2016) show that different fluid flow
562 solvers will have a comparable discrepancy among them, even when the same geometry is
563 provided).

564 We present our results in Table 2, we show the different 3D domains of the test set in Figure 14,
565 and a cross section of the results is shown in Figure 15. These geometries have different pore
566 shapes, and in cases of limestone and microsand they have much wider pore size distribution
567 compared to the training set (Figure 13). They also have different absolute volume sizes. While
568 two sandstones have similar absolute volume size (500 voxel on a side), the relative error for
569 prediction is very different (1.06% and 27.30%) likely because they have different grain/pore
570 distribution as well as different number of individual grains per side (which determines how well
571 grain or pores are resolved). Note that our training set as well as the Fontainebleau sandstone
572 test in previous section all have similar level of resolution and hence we saw a very good
573 prediction for all cases in Table 1. Given that the training set was comparatively simple, we find
574 the results in great agreement with the full-physics simulations.

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579 Figure 13: Maximum inscribed sphere distribution for three of our samples. In orange, the training
 580 set, where the distribution is Gaussian and relatively narrow. In red and blue, the MIS distribution
 581 for the Bentheimer sandstone and microsand respectively. These distributions have a lognormal
 582 shape, which is due to the more heterogeneous pore structures.

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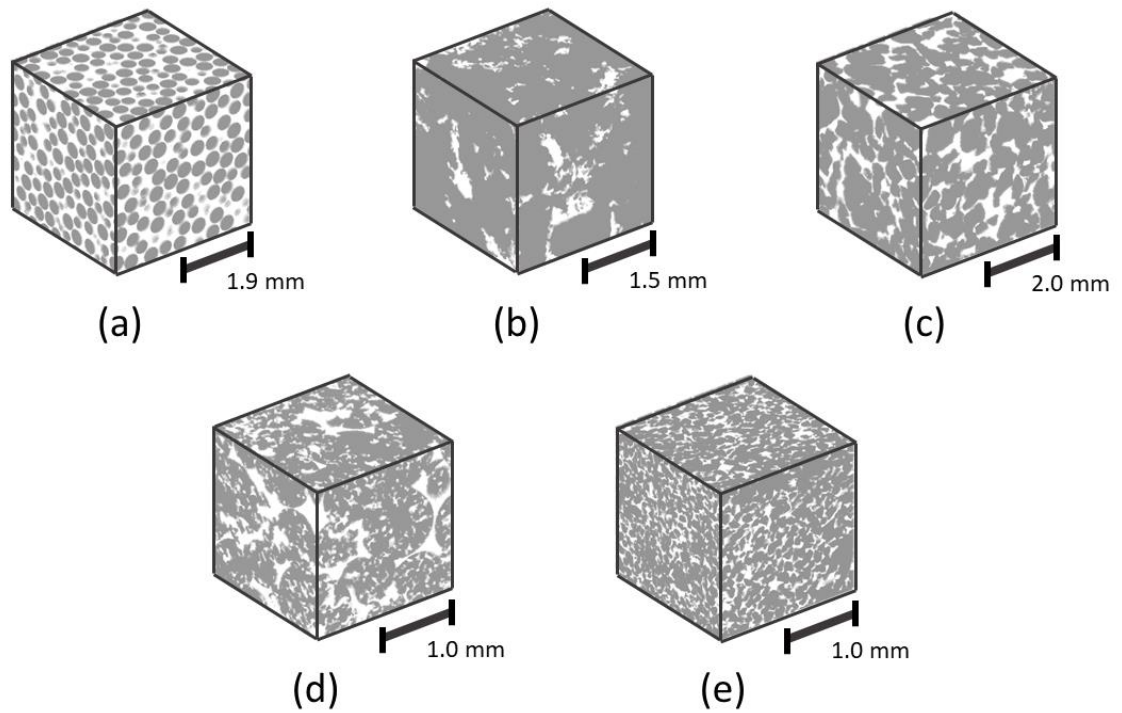
584

Sample	Size [voxels ³]	Resolution [m/voxel]	Porosity	True permeability [m ²]	Predicted permeability [m ²]	Relative error
Eroded sphere pack	500	5.7E-6	42%	8.86e-11	6.76e-11	23.53%
Sphere pack	500	5.7E-6	36%	5.26e-11	4.58e-11	12.96%
Estailades limestone	650	3.3113E-6	11.8%	6.62e-13	6.99e-13	5.45%
Microsand (artificial multiscale sample)	500	3E-6	28.2%	5.64e-12	4.68e-12	17.01%
Castlegate sandstone	512	5.6E-6	20.5%	2.19e-12	2.17e-12	1.06%
Bentheimer sandstone	500	3.0035E-6	20.1%	3.77e-12	2.74e-12	27.30%

585 Table 2: Results of the additional test set.

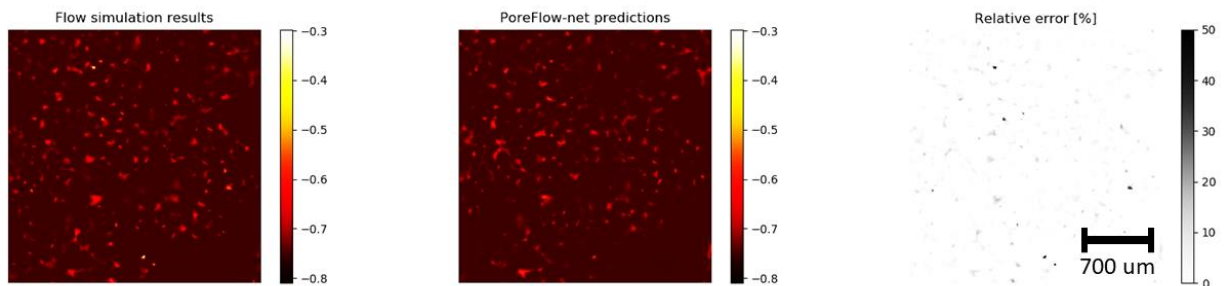
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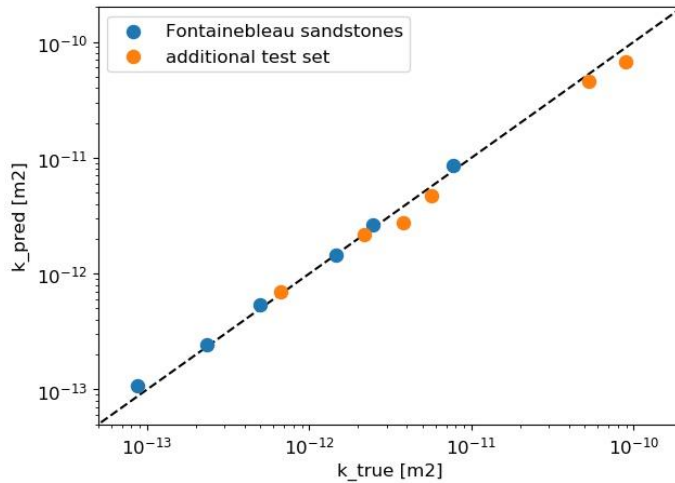
589 Figure 12: Additional test that includes: a) a slightly consolidated medium, b) Estillades limestone
590 (Muljadi, 2015b), c) Castlegate sandstone (Sheppard & Prodanovic, 2015), d) multiscale
591 microsand (Mohammadmoradi, 2017) and e) Bentheimer sandstone (Muljadi, 2015a), all of which
592 are available at the Digital Rocks Portal.
593



594
595

596 Figure 15: XY Cross-section of the simulated velocity (left), PoreFlow-Net prediction (middle) and
597 the relative error between these two (right) for the Castlegate formation sandstone. The velocity
598 is shown in a dimensionless scale going from one to minus one (minmax transform). The mean
599 average relative error in the pore space only is 1% as reported in Table 2.

600



601
 602 Figure 16: Permeability predictions vs true values. The PoreFlow-Net is able to predict a wide
 603 range of orders of magnitude.

604
 605
 606

607 4. Conclusions

608
 609 We train a deep neural network architecture as a fast proxy to predict accurately the 3D physics-
 610 based fluid flow velocity fields within digital rock samples. The relationship between details of pore
 611 geometry and flow field (with its integral measure of permeability) is complex and not easily
 612 predicted based on the geometry statistics alone. Nevertheless, this fundamental relationship
 613 allows describing how fluids move through subsurface formations, and is the cornerstone of many
 614 research projects in environmental, civil, petroleum engineering as well as in geological sciences.

615
 616 We demonstrated that our convolutional neural network generalizes the flow problem to predict
 617 flow velocity in rocks that host much more complex structures than the original training set. This
 618 is attributed to the capacity of the network to model the complicated relationships between pore
 619 shape and domain characteristics with the velocity field. The model performs well with rocks of
 620 varying types (different lithology), and of different grain distribution and porosities, where the
 621 permeability ranged several orders of magnitude (Figure 16). The PoreFlow-Net calculates fluid
 622 flow fields in less than a second on a typical desktop, compared with the standard simulation
 623 procedure, which takes hours to days in a supercomputer facility (depending on the hardware
 624 used as well as complexity of the digitized pore space geometry). Additionally, the model is a
 625 lightweight representation (around 25 Mb), whereas the full simulation results takes 20X the hard
 626 drive space. The model can be reused in any given geometry, while the simulation has to be run
 627 case-by-case. Future work should be focused on finding features that work with fractured domains
 628 and ultra-tight rocks.

629

630 This method provides a framework for different further applications such as component transport,
631 relative permeability, rock-mechanics applications, formation factor, or resistivity. These models
632 provide a straightforward way to assess important characteristics for improved subsurface
633 management without running expensive physical models and could possibly be a path to data-
634 based upscaling, given the proliferation of digital rock images as evidenced in the Digital Rocks
635 Portal or online data available by different research groups (Blunt, 2015; Dorthe Wildenschild,
636 2006).
637
638

639 Reproducibility

640 The code will be publicly available on the author's repository (github.com/je-santos) and all the
641 data used will be posted to Digital Rock Portal upon publication.
642

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653 improved this paper.

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659 Appendix

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661 **A1. Calculating mask for custom loss function**

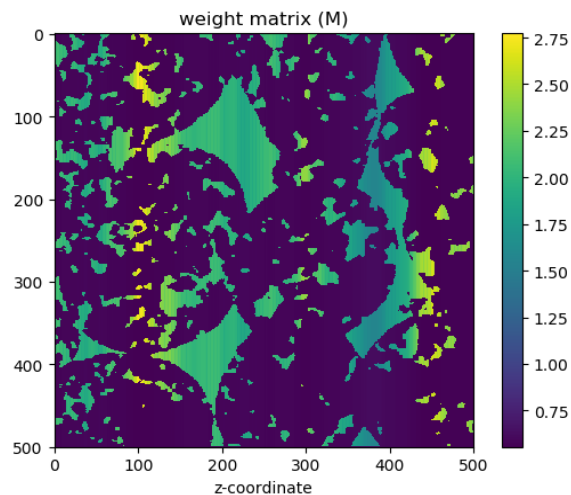
662 We calculate the weight matrix (Figure 17) using the following pseudocode:

663

```
664 image_size = 500          # length of the volume side
665 for i in range( 0, image_size ): # loop along the z-coordinate
666     porosity_z = np.sum(binary_im[:, :, i])/image_size^2 # calculate the porosity of the slice
667     solid_mask[:, :, i] = (1/porosity_z)*solid_mask[:, :, i] # multiply by a term that weights lower
668 porosity sections (Figure 17)
669     solid_mask[:, :, i][solid_mask[:, :, i]==0]=1 # replace the solids with a 1
670     solid_mask[:, :, i] = solid_mask[:, :, i]/sum(solid_mask[:, :, i])*image_size^2 # normalize
671
```

672 Where the binary image is composed by a 3D matrix of zeros representing the solids and ones
673 representing the space for fluid to flow.

674



675

676 *Figure 17: Cross section of the weight matrix (M). Areas with low porosity have a higher weight*
677 *so that the network ‘focuses’ in those areas as well as in the bigger channels.*

678

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